

Financial Services:

Mechanized Account Open Puts You in Control

The Power of ASC Contracts is in its ability to adapt to an organization's implementation needs and offer alternatives. ASC's sophisticated Account Open Solution skillfully leverages the Contract Management functionality present in ASC Contracts to automate many of the account open processing steps. ASC Account Open can be used by banks, brokerages, insurance companies, or any financial services company in any situation where a new contractual relationship is created with a client. A customizable solution offering a "Best Practices" framework that adapts to your organization's operations delivering substantial benefits and a compelling ROI.

"With ASC Account Open, financial institutions can not only reduce the time and effort required to open a new account but can also put their client's money to work faster"

Shawn L. King
President & CEO

ASC Account Open – Features and Benefits

Increased Productivity and Reduced Costs

Feature	Benefit
• Pre-Populated data entry	• Reduces re-keying time and errors
• Data Entry Validation	• Reduces downstream errors and churn
• Electronic signature, routing, notifications and reporting	• Reduces paper routing time and costs
• Bar coded documents and mechanized capture	• Efficient and timely access to all data
	• Reduces imaging expense



Contract Database

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Mechanized Capture

Reduces Paper Management Costs

Feature	Benefit
<ul style="list-style-type: none"> On line forms Data Entry auto-population and validation Electronic signature, routing, notifications and reporting Bar coded documents and mechanized capture 	<ul style="list-style-type: none"> Reduces form paper duplication, storage, ordering and waste costs Reduces paper waste resulting from errors Reduces paper, fax and courier costs Eliminates scanning and manual reconciliation costs Reduces paper storage and retrieval costs



ASC Barcode

Increased Compliance and Reduced Risk

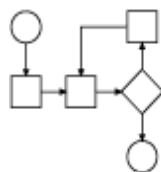
Feature	Benefit
<ul style="list-style-type: none"> On line forms Automated workflow, notifications and reporting Bar Coded documents and mechanized capture Access control and audit trail 	<ul style="list-style-type: none"> Ensures up to date forms and documents Enforces effective compliance control Non-repudiable content (tamper proof) Improves information and imaging accuracy Restricts access and supports compliance auditing



Customizable

Enhanced Client and Advisor Retention

Feature	Benefit
<ul style="list-style-type: none"> Data entry via online forms, wizards and Word Dynamic document generation Data entry auto-population and validation Electronic signature, routing, notifications and reporting Comprehensive searching and reporting capabilities 	<ul style="list-style-type: none"> Improved responsiveness and customer service Reduce complexity with personalized forms Improved information accuracy and reduced churn Quicker account open process and on line trading Increases client and advisor satisfaction and productivity Improved and proactive insight (client and business)



Workflow

Open Flexible and Comprehensive Solution

Feature	Benefit
<ul style="list-style-type: none"> Supports multiple concurrent back office systems Multilingual support Built using Open Standards Built upon a core CLM platform (ASCContracts™) 	<ul style="list-style-type: none"> Reduces complexity and training requirements (single front-end) Back office independence Reduced merger and acquisition complexity Common platform and interface for international business Open and flexible allowing for back office independence Tailored solution with lower shrink wrapped costs and risks

About ASC

ASC is a leading provider of tailored, industry-specific Contract Lifecycle Management (CLM) solutions to automate and simplify business processes for the secure creation, approval, storage, and monitoring of legally binding agreements. ASC satisfies the unique and complex contract management requirements of its customers by focusing on industry-specific CLM business processes and providing tailored solutions that offer the same lower cost and reduced risk benefits as "shrink wrapped" software.